

ANDREA GALLO DI LUIGI S. r. l.

azienda fondata nel 1892

Via Erzelli, 9 - 16152 Genova (Italy) Tel. 010.650.29.41 - Fax 010.650.38.88

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ROHM!HAAS M

KATHON™ WT Water Treatment Microbicide

Description

KATHON WT*,WTE and WTA microbicides are high performance, broad spectrum, antimicrobial agents based on the proven isothiazolone chemistry of Rohm and Haas Company.

They are effective at very low concentrations in controlling both the planktonic and surface growth of bacteria, fungi and algae and have been produced specifically for water treatment and paper mill applications.

Rohm and Haas Company have developed an unrivalled package of regulatory approvals and environmental fate, toxicology, and performance data to support the use of KATHON WT in water treatment applications.

For some years, Rohm and Haas Company have manufactured KATHON WT at facilities approved according to the internationally recognised Quality Standard ISO 9002 (equivalent to BS5750 Part 2). This reflects the commitment of Rohm and Haas to supply high quality products for its customers.

This technical bulletin provides efficacy, toxicology and environmental fate data to allow the safe and effective use of KATHON WT.

* Unless otherwise specified "KATHON WT" is used to denote the KATHON WT group of biocides, which includes KATHON WT, WTE and WTA.

1 - Performance

• KATHON WT microbicides offer numerous outstanding advantages:

Rapid inhibition of growth and macromolecular synthesis:

KATHON WT causes immediate inhibition of growth on coming in contact with a microorganism. The growth inhibition rapidly becomes irreversible and results in cell death. Even before death occurs, the KATHON treated organism is unable to synthesize degradative enzymes or the exopolymers which facilitate adhesion and biofilm formation.

Broad spectrum activity:

KATHON WT controls the wide variety of algae, bacteria and fungi found in industrial water systems. Such a broad spectrum product reduces inventory and handling costs, lowers operator training expenses and lessens the risk of dosing error.

Effective at low concentrations:

Effective control of such a wide variety of microorganisms at levels as low as 1 ppm active ingredient by KATHON WT, provides an unrivalled and cost-effective treatment.

Effective against biofilm:

KATHON WT readily penetrates the surface of adhering biofilm to give effective control of sessile microorganisms.

Biodegradable/non-persistent in the environment:

When diluted below use concentrations, KATHON WT, WTA and WTE are readily biodegradable. Their decomposition does not lead to the presence of chlorinated organics in the environment.

Effective over a wide pH range:

KATHON WT microbicide exhibits excellent performance over a broad pH range, even in alkaline water systems.

Water soluble:

KATHON WT is easily incorporated into formulations.



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Compatibility:

KATHON WT is compatible with chlorine, corrosion and scale inhibitors and most anionic, cationic and non-ionic formulations at normal use levels.

Non-surface active:

KATHON WT is non-foaming.

Infrequent dosing:

KATHON WT remains active for long periods of time in the water system, resulting in low service costs.

Easily deactivated:

Spills of the concentrated active components of KATHON WT are readily deactivated to non-toxic substances by the addition of a slightly acidic solution of sodium metabisulphite or sodium bisulphite.

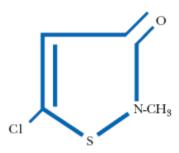
Low toxicity:

Extensive toxicological testing has shown KATHON microbicides to be of low toxicity at recommended use levels. Continued testing ensures that potential risks are well defined.

2 - Chemical and Physical Properties

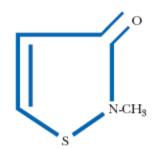
• The active ingredient of KATHON WT biocides is a mixture of two isothiazolones identified by the IUPAC system of nomenclature as:

5-chloro-2-methyl-4-isothiazolin-3-one



CAS Registry N° 26172-55-4

2-methyl-4-isothiazolin-3-one



CAS Registry Nº 2682-20-4

The chemical composition, physical and chemical properties of KATHON WT, KATHON WTA and KATHON WTE microbicides are shown in Table 1.

Table 1 - Composition and properties of KATHON WT microbicides (these values do not constitute specifications)

	KATHON WT	KATHON WTA	KATHON WTE
5-chloro-2 methyl-4-isothiazolin-3-one2-methyl-4-isothiazolin-3-one			
Typical value active ingredients	13.9%	1.5%	1.5%
Inert Ingredients	28.0%	3.0%	3.0%
Water	to 100%	to 100%	to 100%
Typical properties			
Appearance	Clear to slightly hazy liquid		id
Colour	Amber-gold	Pale yellow	Pale yellow green
Odour	Mild	Mild	Mild
Specific gravity (20°C)	1.32	1.02	1.02
pH (as supplied)	2-4	3-5	3-5
Viscosity (cps) 15°C	19.0	19.0	19.0
25°C	16.0	16.0	19.0
25 C	10.0	10.0	13.0

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3 - Stability/Compatibility

• KATHON is stable over the wide range of conditions found in cooling water and paper mill applications.

Product as supplied:

KATHON WT and WTE microbicides are stable as supplied for at least a year at ambient temperatures and for 6 months at 50°C. We recommend, however, that KATHON WTA is stored at 25°C or below for a maximum period of 6 months. Generally, storage conditions appropriate for industrial chemicals should be employed, avoiding exposure to extremes of temperature.

At use levels:

The performance of biocides in industrial water systems is dependent on their stability. Several factors can influence the rate of degradation including water hardness, pH and temperature. The stability of KATHON WT is actually enhanced in hard water conditions. At normal use levels in water treatment systems, KATHON WT biocides are biologically and physically compatible with:

- · anionic, cationic and non-ionic surfactants
- corrosion and scale inhibitors
- chlorine (Table 2)
- majority of standard paper mill additives.

Figure 1 shows the excellent stability of KATHON WT compared with competitive biocides at different levels of pH, temperature and total water hardness. This is dealt with in greater detail in the section on stability/ compatibility.

In addition to its excellent stability at use levels, KATHON WT has the advantage that, when diluted well below its use level, it is readily biodegradable.

Table 2 - Stability of 10 ppm active ingredient KATHON WT in the presence of 1.0 ppm free residual chlorine

TIME (hours)	FREE CHLORINE (ppm)	KATHON WT (ppm AI)
0	1.0	10.0
2	1.0	10.0
4	1.0	9.7
6	1.0	9.8
69	0.1	9.1

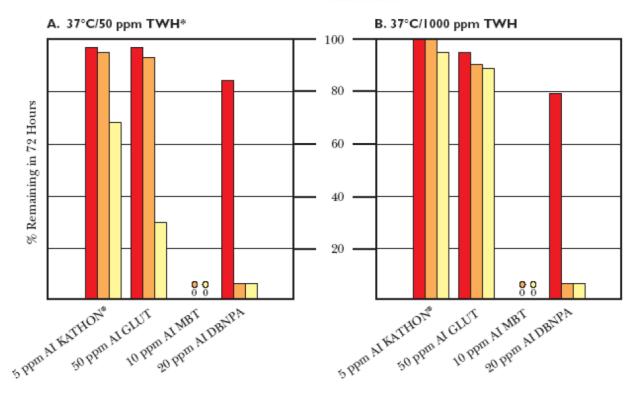
Temperature: 27°C; pH 7.5.

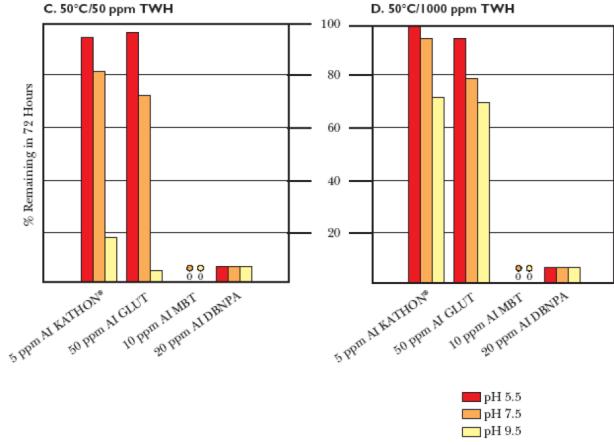
Fig. 1: Stability of KATHON WT vs. competitive biocides at different levels of temperature and water hardness over a pH range 5.5-9.5

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^{*} Total Water Hardness

DBNPA = 2.2-dibromo-3-nitrilopropionamide GLUT = glutaraldehyde

MBT = methylene (bis) thiocyanate (data not yet available at pH 5.5)

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4 - Antimicrobial Properties

• KATHON WT is an extremely effective, broad spectrum microbicide which causes an immediate inhibition of growth on coming in contact with a microorganism.

KATHON WT rapidly interacts with proteins within the cell, causing an inhibition of respiration and ATP synthesis, which results in an inability to synthesize biopolymers or catabolize substrate. Growth inhibition rapidly becomes irreversible and results in cell death as essential proteins are progressively oxidized. Even before cell death occurs, the KATHON-treated organisms are unable to synthesize either biodegradative enzymes or the exopolymers which facilitate microbial adhesion and biofilm formation.

Minimum inhibitory concentrations

Tables 3-6 show the minimum inhibitory concentrations (MIC) of KATHON WT active ingredient against microorganisms in test-tube and microtitre plate assays i.e. the lowest concentration which will inhibit microbial growth.

This data demonstrates broad spectrum activity against all types of fouling microorganisms e.g. fungi, bacteria, and algae. The methods used to obtain this data are useful for screening antimicrobial substances under standardized laboratory conditions, in nutrient rich growth media. Therefore the effective levels of active ingredient derived from these tests do not necessarily translate into the most efficacious use levels for particular applications.

Antimicrobial properties of a water treatment biocide

Many water treatment biocides have "gaps" in their activity spectra i.e. they may be good fungicides but poor algicides or bactericides. One biocide which can perform the function of two or three different products, saves both time and money and reduces the chances of dosing errors. A broad spectrum biocide, such as KATHON WT, which prevents the growth of, or kills organisms causing surface fouling, at low concentrations, is essential in industrial water treatment.

Table 3 - Minimum inhibitory concentrations of KATHON WT vs fungi a

ORGANISM	ATCC N°	ACTIVE INGREDIENT (ppm)
*Aspergillus foetidus	16878	8
*Aspergillus niger	9642	9
*Aspergillus oryzae	10196	5
Candida albican (yeast)	11651	5
Chaetomium globosum	6205	9
*Cladosporium resinae (Hormoconis resinae)	11274	5
Gliocladium fimbriatum	QM7638	9
Lentinus lepideus	12653	4
Gloeophyllum trabeum	11539	6
*Mucor rouxii	R and HL5-83	5
>*Penicillium funiculosum	9644	5
>*Penicillium variabile (glaucum)	USDA	2
Phoma herbarum (pigmentivora)	12569	2
*Aureobasidium pullulans	9348	5
*Rhizopus stolonifer	10404	5
> Rhodotorula rubra (yeast)	9449	2
Saccharomyces cerevisiae (yeast)	2601	2
Trichophyton mentagrophytes (interdigitale)	9533	5



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Table 4 - Minimum inhibitory concentrations of KATHON WT vs bacteria^a

ORGANISM	ATCC N°	ACTIVE INGREDIENT (ppm)
GRAM-NEGATIVE		
Achromobacter parvulus	4335	2
*Alcaligenes faecalis	8750	2
Azotobacter vinelandii	12837	5
*Enterobacter aerogenes	3906	5
Escherichia coli	11229	8
>*Flavabacterium suaveolens	958	9
> Nitrobacter agilis	14123	0.1
*Proteus vulgaris	8427	5
>*Pseudomonas aeruginosa	15442	5
>*Pseudomonas cepacia	Gibraltar 165	0.75
>*Pseudomonas fluorescens	13525	2
>*Pseudomonas oleoverans	8062	5
Shigella sonnei	9292	2
GRAM-POSITIVE		
>*Bacillus cereus var. mycoides	R and HL5	2
>*Bacillus subtilis	R and HB2	2
Brevibacterium ammoniagenes	6871	2
Cellulomonas sp.	21399	6
>Sarcina lutea	9341	5
*Staphylococcus aureus	6538	2
*Staphylococcus epidermidis	155	2
Streptomyces albus	3004	1

- > Microorganisms causing fouling of cooling towers.
- * Microorganisms associated with slime deposits in paper-making processes.
- a: The bacteriostatic and fungistatic tests were performed by serially diluting the biocide in trypticase-soy broth followed by a 1:100 inoculation with 24-hour broth cultures of test bacterium or fungal spore suspension (prepared from 7-14 day culture slants washed with 7 mls of deionized water). Minimum inhibitory concentration levels were determined visually after 2 days incubation at 37°C for bacteria and 7 days incubation at 28-30°C or fungi.

• Biofilm.

A considerable difference exists between the efficacy of a biocide against free-living or planktonic microorganisms and surface-attached or sessile microorganisms. Sessile microorganisms build up on process surfaces that are in continual contact with water, to form biofilms, which may vary from the more obvious slimy or filamentous layers, to discrete deposits barely visible to the naked eye.

Biofilms consist of complex populations of sessile microorganisms (including bacteria, fungi, protozoa and algae) inorganic and organic debris bound together by an extracellular microbial adhesive^{1,2} (Fig. 2). The polysaccharide matrix protects microorganisms against rapid environmental changes, including the addition of many biocides and other water treatment chemicals, making them more difficult to kill than their freeliving counter-parts. Some biocides may also be deactivated by adsorption to organic and/or inorganic debris within the biofilm itself.

Not only do surface-attached microorganisms outnumber planktonic populations by several orders of magnitude, but they are also the direct cause of most problems in industrial cooling water systems, air washers and paper mills. These include:

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Energy loss due to fouling

- increased heat transfer resistance
- filter blocking
- decreasing fluid flow/increasing pressure drop in pipes

Microbial-induced corrosion

• of unprotected metal surfaces beneath the biofilm

Decreased manufacturing efficiency

- breakaway biofilm interferes in paper manufacture
- increased stoppages for cleaning and maintenance of equipment

Failure of other water treatment chemicals

• biodegradation of additives such as corrosion inhibitors

Potential health effects

• biofilm may harbour pathogenic or potentially pathogenic organisms e.g. Legionella and Pseudomonas spp.

Table 5 - Minimum inhibitory concentrations of KATHON WT vs algae b

ORGANISM	ACTIVE INGREDIENT (ppm)
CHLOROPHYTA (GREEN ALGAE)	
>Chlorella oleofaciens	0.12
>Chlorella pyrenoidosa	0.03
Scenedesmus quadicauda	1.0
Selenastrum capricornutum	0.25
>Ulothrix fimbriata	0.63
>Ulothrix acuminata	0.63

Table 6 - Minimum inhibitory concentrations of KATHON WT vs blue green bacteria b

CYANOPHYTA/CYANOBACTERIA	
Anabaena flos-aquae	0.03
Nostoc commune	0.12
>Oscillatoria prolifera	0.08
Phormidium luridum	0.12
Synechococcus leopoliensis	0.50
Schizothriz calcicola	0.31
Scytonema hofmanni	0.16
Calothrix parienta	0.31
Microcystis aeruginosa	0.16

- > Microorganisms causing fouling of cooling towers.
- b: A 96-well microtitre plate assay using two-fold serial dilutions in growth media, was employed to determine MIC values against algae and blue green bacteria. Microorganisms used as inoculum were grown up on an algal-cyanobacterial medium under constant agitation and with a 16 hour illumination cycle. MICs were determined visually (using a microtitre plate reader) after 14-21 days incubation at 25°C under constant illumination.



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· The need for activity against biofilm.

An industrial water treatment biocide must be active against biofilm. In spite of this, many biocides are still only tested against planktonic microorganisms (see Cooling Water Microbicide section). Rohm and Haas have been at the forefront of research into sessile testing* and have independently developed and tested two laboratory methods for monitoring the use of biocides to control biofouling in industrial water distribution systems, namely:

- · model cooling towers
- · circulating biofilm loops

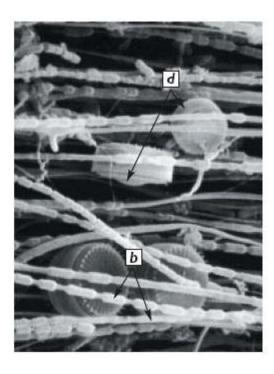
KATHON WT is not only effective in killing microorganisms in existing biofilms, but will also prevent biofilm regrowth when regularly dosed into a clean system.

KATHON WT is one of the few microbicides extensively tested against biofilm organisms during its development.

A more comprehensive discussion of biofouling, the problems it causes and the efficacy of KATHON WT against biofilms, are given in the Cooling Water and Paper Mill Microbicide sections.

* See External Rohm and Haas Publications list at the end of this bulletin.

Fig. 2 : A scanning electron micrograph of a biofilm which has built up on a metal surface in contact with flowing water. Chains of bacteria (b), and diatoms (d), can easily be distinguished within the biofilm.



5 - Comparative Efficacy

• Field and laboratory evaluation.

KATHON WT has been evaluated against competitive biocides in extensive laboratory tests and field trials. Results show that it easily out-performs its nearest competitors (Fig. 3).

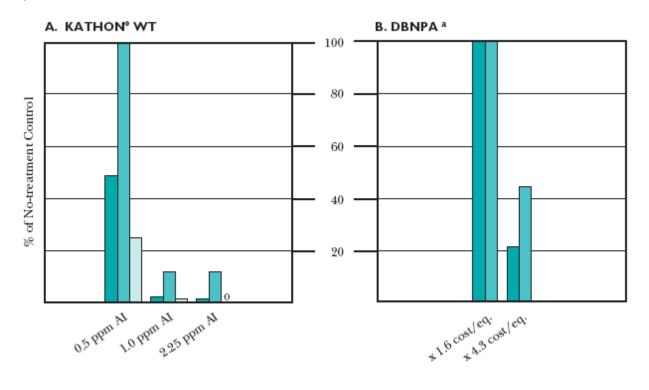
It produces excellent control against sessile aerobic and anaerobic microorganisms, in both industrial cooling systems (Fig. 4 and Table 7) and as a paper mill slimicide (Fig. 5). Field trials in an industrial air washer have also proved its excellence as a microbicide (Table 8).

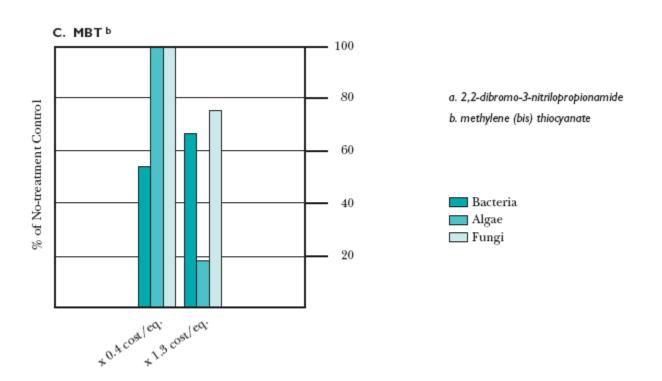
It is effective over a wide pH range and is therefore ideal for use in the alkaline conditions that exist in multi-cycle cooling towers and modern papermaking.

It is attractive economically because low levels and infrequent doses can be used successfully.



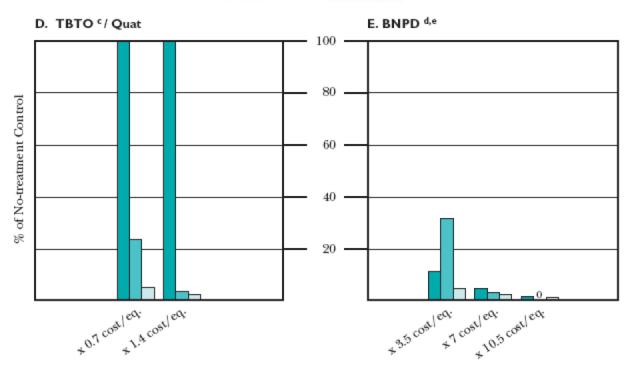
Fig. 3 : Comparative efficacy of KATHON WT and alternative water treatment biocides against biofilm in model cooling towers (viable cells per sq cm). The approximate cost of alternative biocide treatment have been calculated in comparison with that of 1 ppm AI KATHON WT and are expressed as costequivalents





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- c. tributyl tin oxide
- d. 2-bromo-2-nitropropane-1,3-diol
- e. bacteria and fungi c.f.u./cm²; algae mg chlorophyll/cm²
- Bacteria
 Algae
- Fungi

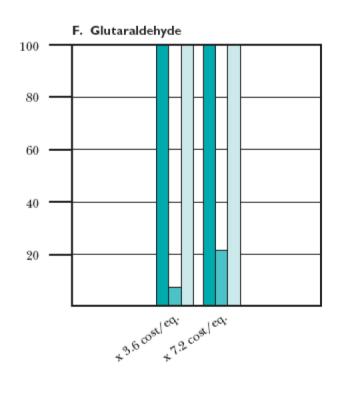


Fig. 4 : Effect of KATHON WT on naturally occurring microbial populations in an industrial cooling tower

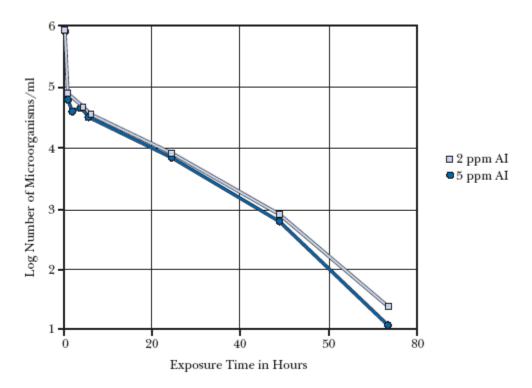


Table 7 - Effect of KATHON WT on microbial populations in an industrial cooling tower

			% RE	EDUCTIONa	AFTER:
AREA SAMPLED	MICROORGANISM	INITIAL COUNT	3 Weeks Dose 9 ppm Al	5 Weeks Dose 1 ppm AI	5 Weeks Dose 0.5 ppm AI
Basin Water	Bacteria	1.30 x 10 ⁶ /ml	75	53	86
	Fungi	2.80 x 10 ² /ml	94	93	91
	Algae	3.93 x 10 ² /ml	96	88	-
Slats	Bacteria	2.79 x 10 ⁹ /cm ²	98	97	94
	Fungi	1.64×10^{5} /cm ²	99.5	94	99.2
	Algae	3.44 x 10 ⁵ /cm ²	-	88	96
Distribution	Bacteria	4.58 x 10 ¹⁰ /cm ²	99.9	97	95
Boxes	Fungi	$4.19 \times 10^4 / \text{cm}^2$	99.4	93	93
	Algae	2.06 x 10 ⁷ /cm ²	>99.99	92	99.98

a: % Reduction based on initial count.

Fig. 5 : Comparative efficacy of KATHON WT and carbamate in a paper mill producing newsprint

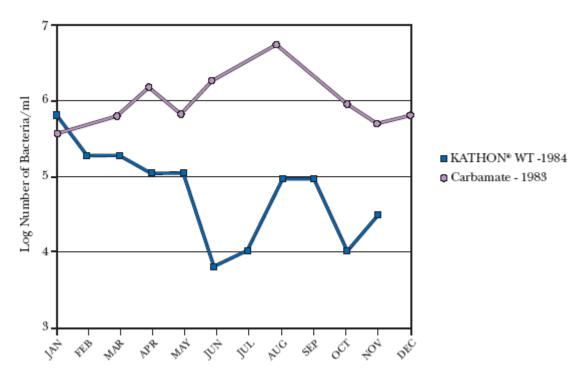


Figure 5 illustrates a case history of biocide treatment in a newsprint mill where biocide addition was at the broke towers. Using carbamate, bacterial counts in the broke pulp were unacceptably high. After changing to a cost-equivalent level of KATHON WT, bacterial counts in the broke were significantly reduced and downtime due to contamination was minimized.

Table 8 - Effect of KATHON WTE on the microbial populations in an industrial air washing system ^{a,b}

	COMMON SUMP			AIR WASHERS		
	Total Count /ml	Fungi/ml	SRB/ml ^c	Total Count /ml	Fungi/ml	SRB/ml ^c
Initial Count (No KATHON WTE)	7.5 x 10 ⁵	70	6.3 x 10 ²	1.0 x 10 ⁶	30	7.4 x 10 ²
After 1st dose 3 hours 24 hours	2.2×10^5 6.0×10^3	0 ^d 0	0 9	1.7×10^5 1.0×10^3	0 1	0 0
After 2nd dose 3 hours 24 hours	9.0×10^3 1.0×10^3	8 0	80 0	1.2 x 10 ⁴ 8.0 x 10 ³	0 0	35 0
After 3rd dose 3 hours	7.0 x 10 ³	0	0	1.0 × 10 ³	0	0

a: System Parameters

Volume of water: 945,000 litres

Retention time: 2.5 cycles (19 days based on blowdown)

pH: 7.6

KATHON WTE level: 1.0 ppm AI

Dose schedule: Initial dose: 60.5 litres KATHON WTE charged into common sump (60 ppm as supplied)

Subsequent doses: 7.5 litres KATHON WTE 1.5% charged into common sump based on

blowdown

b: Visual Evaluation

KATHON WTE maintained a clean system. No problems of odour or foaming were encountered during or after the trial

c: Most probable number of sulphate reducing bacteria/ml

d: 0 = none detected

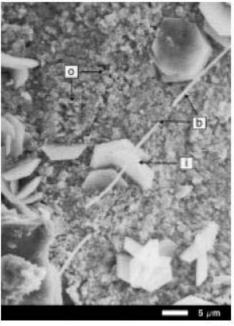


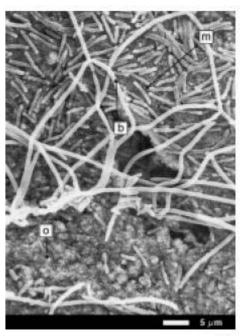
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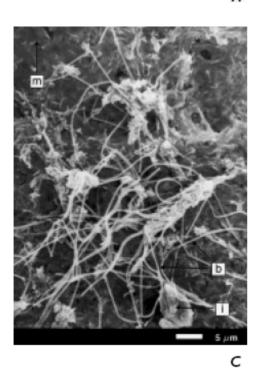
Fig. 6 : Scanning electron micrographs of biofilm development on a metal surface





A





- a: Scanning electron micrographs taken of a metal surface that has been subjected to circulating water for I hour (A), 24 hours (B) and 64 hours (C). This sequence of micrographs shows the initial formation of a coating of organic debris and inorganic particles, followed by the attachment of bacteria, which eventually become embedded in a thick coating of extracellular microbial adhesive or "slime."
- b : bacteria
- 1: Inorganic debris
- o : organic debris
- m : polysaccharide matrix (slime)

6 - Application Areas

• KATHON WT is ideally suited to meet the requirements of an industrial water treatment biocide.

KATHON WT microbicide is not deactivated by suspended organic matter, and is compatible with other water treatment additives, including chlorine. With the recent change of many cooling towers and paper mills to alkaline operating conditions, it is important to use a biocide such as KATHON WT, which remains stable at higher pH values. KATHON WT is of low toxicity at use levels, easily deactivated and biodegradable. In addition to all these essential properties, KATHON WT is cost-effective.



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Table 9

APPLICATIONS

MAXIMUM USE LEVEL (ppm active ingredients)

1. Industrial cooling water systems	15.0
Industrial air washers with efficient mist-eliminating system	15.0
3. Paper mill slimicides	15.0



• Cooling tower microbicide.

KATHON WT is a broad spectrum biocide, active against microorganisms in the biofilm. It is cost-effective and ideally suited for use in todays alkaline, multi-cycle towers (Fig. 4). A concentration of KATHON WT as low as 1 ppm active ingredient, single-dosed every 3-7 days (depending on blowdown rate) is often sufficient to control severe microbiological fouling.

· Maximum use levels.

These maximum use levels relate to the level of KATHON WT active ingredients which may be constantly present in the applications described. Lower maximum use levels may be appropriate in some instances to satisfy local environmental discharge/regulatory requirements. For further information on maximum use levels, please refer to the Safety Guidelines Bulletins.



• Air washer microbicide.

KATHON WT is very effective in controlling microbial growth in industrial air washing systems (Table 8).



• Paper mill slimicide.

KATHON WT is a high performance paper mill slimicide with a broad spectrum of activity which can cope with the rapid changes in microbial flora that occur in different papermaking systems. It penetrates and kills microorganisms in the biofilm and is not inactivated by the high level of suspended organic solids found in paper mill water. It also provides cost-effective microbial control (Fig. 5).

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7 - Toxicology and Environmental Fate

• Rohm and Haas Company, one of the foremost specialty chemical manufacturers, takes every measure to ensure that its products are safe for both people and the environment.

Toxicology

In line with this policy, Rohm and Haas provide comprehensive toxicological data for KATHON WT, which shows that it is of low toxicity at use-levels.

Environmental fate

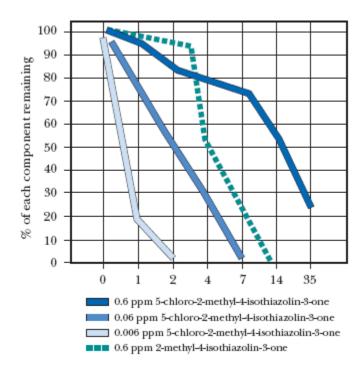
With the tightening of legislation governing the quality of industrial effluent, a major consideration in the choice of a biocide for industrial water treatment is its fate in the environment. This is discussed in more detail in the section on Environmental Fate and some of the original research is published in the Journal of Agricultural and Food Chemistry, 23, 1060-1075, 1975. Reprints are available on request.

- · KATHON WT has minimal environmental impact because of the following properties:
- high performance product
- very low use levels
- readily biodegradable/non-persistent in the environment
- does not lead to the presence of chlorinated organics
- does not affect performance of waste water treatment plants

KATHON WT has been shown to be environmentally acceptable by a variety of test procedures. The criteria for acceptability is beyond the most stringent control parameters introduced by regulatory authorities.

This unique combination of properties makes KATHON WT a first choice for any water treatment programme.

Fig. 7 : Dissipation of KATHON WT AI in river water





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8 - Current Regulatory Status

• The list below is intended to assist you and your customers to comply with prevailing regulatory controls. It illustrates the status of KATHON WT microbicide in those countries where specific approvals are required.

Current regulatory status

Other grades of KATHON microbicides based on the same active ingredients as KATHON WT have obtain FDA or BGA approvals allowing their use as preservatives in latex which is employed in the production of adhesives and paper and board for contact with dry, aqueous and fatty foods.

For further details of those approvals, please contact your local Rohm and Haas Sales Office.

Table 10

COUNTRY	PRODUCT	REGULATORY CLEARANCE	APPLICATION	NOTES
Germany	KATHON WT and WTE Active Ingredient (AI)	BGA Rec. XXXVI "Paper and paper board"	As slimicide in manufacture of food contact paper	As a slimicide at a maximum level of 4 ppm AI in dried fibre
Italy	KATHON WT and WTE AI	Decree August 7, 1987 N° 395	Food contact paper	At 0.1 ppm AI max. extractable from paper
Netherlands	KATHON WT	N° 9926 N August, 1985	Paper mill and cooling water slimicide	Not for food contact
	KATHON WTA	N° 10034N		
Sweden	KATHON WTE	Swedish Chemicals Inspectorate N° 3401	Paper mill slimicide	Can be used in manufacture of food contact paper
Switzerland	KATHON WT and WTE AI	EGA Substance List N° 49466 Class III	All	
USA	KATHON WT and WTE ^a	EPA 707-128 707-133	Cooling towers and air washers	Up to max. use level of 1.4 lb AI/ton dry wgt.
	KATHON WT ^a	EPA 707-132	Paper mill slimicide	paper
	KATHON WT and WTE ^a AI	FDA 21 CFR176. 300	Paper slimicide	

a: These products have different designations in the USA.

It should be borne in mind that these clearances apply to KATHON microbicides as submitted by Rohm and Haas Company and that formulations containing other ingredients may need to be resubmitted for approval.

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Acknowledgements

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